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PTO/SB/21 (09-06) Approved for use through 03/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/600.041 **TRANSMITTAL** Filing Date June 20, 2003 First Named Inventor FORM Gutman et al. RECEIVED Art Unit 1732 CENTRAL FAX CENTER Examiner Name Vargot M. (to be used for all correspondence after initial filing) Attorney Docket Number 2088 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ✓ Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a ✓ Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name David W. Schumaker Date Reg. No. 35.017 October 11, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450 on the date shown below: Signature October 11, 2006 David W. Schumaker Typed or printed name

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OCT 1 1 2006

Our Reference: 2088

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gutman et al.

Serial Number: 10/600,041

Filing Date: June 20, 2003

Examiner/Group Art Unit: Vargot, M./1732

Title: Method and Apparatus for Producing Optical Disk Substrates

Assistant Commissioner for Patents

Washington, DC 20231

Compliant Amendment

Sir:

This is in response to an Office Action issued August 11, 2006, a response thereto due October 22, 2006.

Remarks/Arguments begin on page 2.